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J. Tomasz^a

^a Institute of Biophysics, Biological Research Centre, Szeged, Hungary

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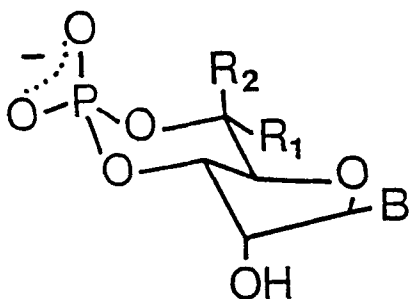
A POSSIBLE MECHANISM FOR THE BASE-CATALYZED HYDROLYSIS OF
NUCLEOSIDE CYCLIC 3',5'-MONOPHOSPHATES

J. Tomasz

Institute of Biophysics, Biological Research Centre,
Hungarian Academy of Sciences, H-6701 Szeged, Hungary

Abstract. The predominant formation of nucleoside 3'-monophosphates in the base-catalyzed hydrolysis of nucleoside 3',5'-monophosphates is interpreted in terms of the lone pair orientation effect that may decrease the transition state energy for P-O-C5' bond breaking.

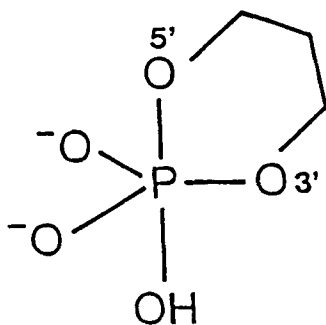
Nucleoside cyclic 3',5'-monophosphates (1) are six-membered cyclic phosphodiester having one primary, the P-O-C5' and one secondary, the P-O-C3' phosphate ester bond. The two ester linkages show different stability towards base. For example, adenosine cyclic 3',5'-monophosphate (2) hydrolyzes under basic conditions [0.2 N Ba(OH)₂, 100°C] with complete inversion of configuration at phosphorus¹ to a 86:14 mixture of adenosine 3'- and adenosine 5'-monophosphate,² i. e. with dominant fission of the P-O-C5' linkage. The base-catalyzed hydrolysis of 1 was a key reaction in the first synthesis of diribonucleoside-monophosphates according to the phosphodiester method.³ The stability differences between the primary and the secondary phosphate ester bonds were interpreted either on the analogy of the well-known stability differences between primary and secondary alkyl esters of carboxylic acids,⁴ or on the basis of the stereochemical nonequivalence of the P-O-C5' and the P-O-C3' bonds.⁵ However, no effort has been made to explain the base catalyzed hydrolysis of 1 in terms of the modern theory of displacement reactions at phosphorus. The present communication is an attempt to do this on the example of 2, the most important representative of 1.



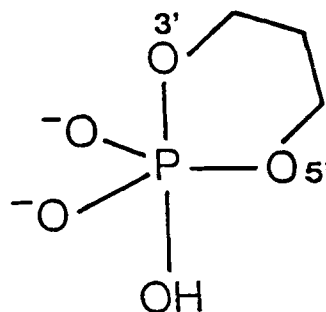
	\underline{R}_1	\underline{R}_2	\underline{B}
$\underline{1}$:	H	H	purin-9-yl or pyrimidin-1-yl
$\underline{2}$:	H	H	adenin-9-yl
$\underline{3}$:	Me	H	adenin-9-yl
$\underline{4}$:	H	Me	adenin-9-yl

$\underline{1} - \underline{4}$

A nucleophilic displacement reaction at phosphorus according to an $S_N2(P)$ mechanism proceeds via a trigonal bipyramidal transition state with apical attack of the entering group and apical departure of the leaving group.⁶ Therefore, in the base catalyzed hydrolysis of $\underline{2}$, the transition states for P-O-C5' and P-O-C3' bond breaking may be formulated as structures $\underline{5}$ and $\underline{6}$, respectively, if we disregard the conformation of the dioxaphosphorinane ring.



$\underline{5}$



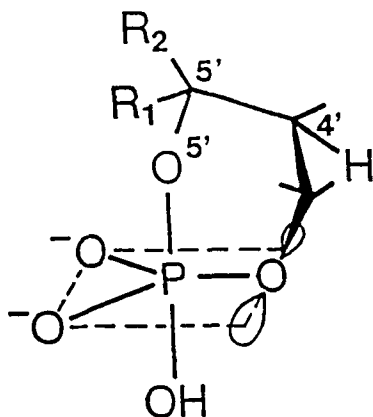
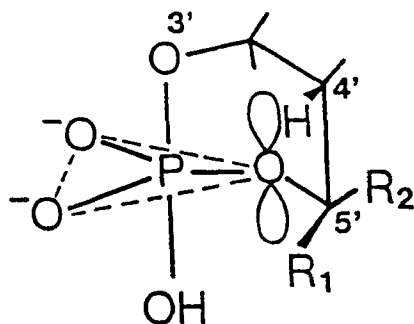
$\underline{6}$

The dominant P-O-C5' bond breaking may be explained on the basis of the greater apicophilicity of the primary OC5' alkoxy group compared to that of the secondary OC3' alkoxy group.⁷ If this interpretation is correct, substitution of a methyl group for any of the two H5' protons of $\underline{2}$ should

result in an increased P-O-C3' bond breaking at the expense of the P-O-C5' bond breaking. However, we found that only the substitution of the equatorial proton influences the hydrolytic process, the bond breakings being 37% (P-O-C5') and 63% (P-O-C3') for 9(6-deoxy- β -D-allofuranosyl)adenine cyclic 3',5'-monophosphate (3, equatorial 5'-methyl group) and 80% (P-O-C5') and 20% (P-O-C3') for 9(6-deoxy- β -L-talofuranosyl)adenine cyclic 3',5'-monophosphate (4, axial 5'-methyl group). Consequently, the greater apicophilicity of the primary alkoxy group may not be responsible for the stability differences between the P-O-C5' and the P-O-C3' bonds.

The 1,3,2-dioxaphosphorinane ring of 2 occupies apical-equatorial positions in the trigonal bipyramidal transition state of hydrolysis. For an apical-equatorial dioxaphosphorinane ring the boat form is the most stable conformer⁸ as a result of the lone pair orientation effect.⁹ Only an apical-equatorial boat-shaped dioxaphosphorinane ring provides for the lone pair on the equatorial sp² hybridized ring oxygen atom¹⁰ to be in the favored equatorial plane.⁸ Examinations of Dreiding models containing sp² hybridized O3' and O5' atoms and a boat-shaped dioxaphosphorinane ring, shows that in the transition state for P-O-C5' bond breaking (7), the lone pair on the equatorial O3' atom is located on the equatorial plane. On the contrary, in the transition state for P-O-C3' bond breaking (8), the lone pair on the equatorial O5' atom is

perpendicular to the equatorial plane. Consequently, the transition state energy for P-O-C5' bond breaking may be smaller than that for the fission of the P-O-C3' linkage. Steric repulsion between the cis-oriented H4' and H5' protons in transition state 7, acts against the lone pair orientation effect and thus increases the transition state energy. Substitution of a methyl group of larger size for the equatorial H5' proton of 2 to give 3 should enlarge the steric repulsion and, in such a way, may further increase the transition state energy. A similar substitution of the axial H5' proton (the case of 4, trans-oriented H4' and 5'CH₃ in 7) is not expected to influence the steric repulsion.

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Accordingly, the predominant formation of 3'-monophosphates in the base-catalyzed hydrolysis of 2 and 4 may be interpreted on the basis of the lone pair orientation effect that diminishes the transition state energy for P-O-C5' bond breaking. The lone pair orientation effect is sterically hindered, and thus the transition state energy for P-O-C5' bond breaking is increased for 3 resulting in a decrease of 3'-monophosphate formation.

The alkaline hydrolysis of the phosphoramidate derivatives of 2, 3 and 4 has been interpreted on similar grounds, recently.¹¹

EXPERIMENTAL

Cyclic monophosphates 3 and 4 were prepared according to known procedures¹² and characterized by ¹H, ¹³C and ³¹P NMR.^{11,13} Hydrolysis of 3 and 4 was performed under exactly the same conditions (0.05 mmol 3 or 4, 2.5 mL of 0.2 N Ba(OH)₂, 100°C, 30 min) as used by Khorana for that of 2.² After neutralization with Dowex-50x8 [H⁺] cation-exchange resin, the hydrolysates were separated on a DEAE-cellulose [HCO₃⁻] (DE-32, Whatman) column (1.4x30.0 cm) by using a linear gradient of aqueous triethylammonium hydrogen carbonate, pH 7.5 (0-->0.3 M, 1 L). The quantity of the

cleanly separated monophosphates (elution order: 5'>3') was determined by UV at 260 nm. The isomeric monophosphates were distinguished by TLC comparison with authentic adenosine 5'- and 3'-monophosphates on silica gel (Kieselgel 60 F₂₅₄, Merck) chromatoplates in n-propanol/conc. NH₄OH/H₂O = 11/7/2 (v/v) developing solvent.

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